

IFW

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/797,567	03/11/2004	Takayuki Kawahara	018907.0112

CONFIRMATION NO. 4814

## FORMALITIES LETTER



\*OC000000012784681\*

24735  
 BAKER BOTTS LLP  
 C/O INTELLECTUAL PROPERTY DEPARTMENT  
 THE WARNER, SUITE 1300  
 1299 PENNSYLVANIA AVE, NW  
 WASHINGTON, DC 20004-2400

Date Mailed: 05/26/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

08/06/2004 SZEWDIE1 00000014 10797567

01 FC:1001 770.00 OP  
 02 FC:1051 130.00 OP

---

*A copy of this notice MUST be returned with the reply.*

M-WAIVE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



FEE TRANSMITTAL  [MAIL STOP MISSING PARTS]		Complete If Known					
		Application No.	10/797,567				
		Filing Date	March 11, 2004				
		First Named Inventor	Takayuki Kawahara et al.				
		Examiner Name	To be assigned				
		Group Art Unit	3746				
Total Amount Of Payment (\$)		1010.00	Attorney Docket No.	018907.0112			
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to <b>Deposit Account No. 02-0375</b> in the name of Baker Botts L.L.P.		3. <b>ADDITIONAL FEES</b>					
<input type="checkbox"/> Charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 to <b>Deposit Account No. 02-0375</b> .		Fee Description		Fee Paid			
		<input checked="" type="checkbox"/> Surcharge - late filing fee or oath		\$ 130.00			
		<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet		\$			
		<input checked="" type="checkbox"/> Extension for reply with 1 month		\$ 110.00			
		<input type="checkbox"/> Notice of Appeal		\$			
		<input type="checkbox"/> Filing Brief in Support of Appeal		\$			
		<input type="checkbox"/> Request for Oral Hearing		\$			
		<input type="checkbox"/> Utility Issue Fee (or reissue)		\$			
		<input type="checkbox"/> Design Issue Fee		\$			
		<input type="checkbox"/> Plant Issue Fee		\$			
		<input type="checkbox"/> Petitions to Commissioner		\$			
		<input type="checkbox"/> Petition to Revive (unavoidable)		\$			
		<input type="checkbox"/> Petition to Revive (unintentional)		\$			
		<input type="checkbox"/> Petitions Related to Provisional Applications		\$			
		<input type="checkbox"/> Submission of Information Disclosure Statement		\$			
		<input type="checkbox"/> Filing Submission After Final Rejection		\$			
		<input type="checkbox"/> Recordation of Assignment Document		\$			
		<input type="checkbox"/> Filing Request for Reexamination		\$			
		<input type="checkbox"/> Other (Publication Fee and Payment for Advanced Copies)		\$			
2. <input checked="" type="checkbox"/> Check Enclosed. The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to <b>Deposit Account No. 02-0375</b> in the name of Baker Botts L.L.P., The Warner, Suite 1300, 1299 Pennsylvania Avenue, N.W., Washington, D.C. 20004-2400.							
FEE CALCULATION							
1. BASIC FILING FEE <input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity							
		Fee Paid					
Utility Filing Fee		\$770.00					
Design Filing Fee		\$					
Plant Filing Fee		\$					
Reissue Filing Fee		\$					
Provisional Filing Fee		\$					
2. EXTRA CLAIMS FEES							
CLAIMS AS AMENDED							
For	Number Present	Highest Number Paid For	Extra	Rate		Amount	
				Large Entity	Small Entity		
TOTAL CLAIMS		20	0	x \$ 18.00	x \$ 9.00	\$0.00	
INDEPENDENT CLAIMS		3	0	x \$ 86.00	x \$ 43.00	\$0.00	
MULTIPLE DEPENDENT CLAIMS				\$ 290.00	\$ 145.00	\$0.00	
TOTAL EXTRA CLAIMS FEES						\$0.00	
SUBMITTED BY					Complete (if applicable)		
Typed or Printed Name	James B. Arpin			Registration No.	33,470		
Signature				Date	8/5/04	Deposit Account User ID	02-0375